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# **RESEARCH ARTICLE**

# Ketamine Infusion in Post-Surgical Pain Management after Head and Neck Surgery: A Retrospective Observational Study

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#### Abstract: Background:

# Head and neck cancer affects approximately 382,000 new patients per year worldwide with a significant portion undergoing surgical treatment. During postoperative period key elements in the Intensive Care Unit (ICU) are airway management and pain control.

#### **Objective:**

We evaluated the average change of inpatient pain control using a Numerical Rating Score (NRS). We also evaluated the time of extubation after ICU admission recording the incidence of desaturation and the necessity of re-intubation. Secondary outcomes were the incidence of postoperative complications, included those narcotics-related, and the use of rescue analgesics.

#### Methods:

In this retrospective observational study, we analyzed data of registry before and after we have changed our postoperative analgesic protocol from remifentanil infusion to ketamine infusion.

#### Results:

Medical records of 20 patients were examined. 10 patients received 0.5 mg/kg ketamine bolus at the end of surgery, followed by a continuous infusion of 0.25 mg/kg/h. All patients presented a significant decrease in pain intensity from the 4<sup>th</sup> to 48<sup>th</sup> postoperative hour (p < 0.05), but statically not a significant difference in NRS score was recorded between the two groups. Time to extubation was shorter in ketamine group compared to the remifentanil group (112.30 min ± 16.78 vs. 78 min ± 14.17; p < 0.05). Desaturation rate was 10% in the remifentanil group, while no case was recorded in the ketamine group.

#### Conclusion:

The level of analgesia provided by ketamine and remifentanil was comparable. Ketamine was superior in ventilatory management of the patient with more rapid extubation and with no case of desaturation.

Keywords: Head and neck cancer, Intensive care unit, Ketamine, Remifentanil, Pain control, Airway management, Ventilatory management.

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# **1. INTRODUCTION**

Head and neck cancer affects approximately 382,000 new patients per year worldwide [1], with a significant portion of these patients undergoing surgical treatment. Due to the complexity and aesthetic importance of head and neck anatomical

structures, reconstruction with free tissue transfer is often required to achieve optimal postoperative function and appearance [2].

According to Enhanced Recovery After Surgery (ERAS) protocol for people undergoing surgery for head and neck cancer, during the postoperative phase in Intensive Care Unit (ICU) key elements are the airway management, including for those patients for whom a tracheostomy has been placed, and the pain control [3]. Standard of care for pain management and

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sedation in patients ventilated in ICU is a continuous infusion of opioid (*i.e.* remifentanil or morphine), associated with hypnotic sedative agents (*i.e.* midazolam or propofol).

Ketamine is a Non-competitive N-Methyl-D-Aspartate (NMDA) receptor blocker. It stimulates the cardiovascular system increases heart rate, blood pressure and cardiac output, mediated principally through the sympathetic nervous system [4]. It has minimal effects on central respiratory drive and produces airway relaxation by acting on various receptors and inflammatory cascades and bronchial smooth muscles [5]. It increases salivation and muscle tone [4]. Ketamine has cataleptic, amnestic, profound analgesic, and dose dependent anaesthetic actions [4]. The cataleptic state is an akinetic state with the loss of orthostatic reflexes, but without impairment of consciousness [6].

For these characteristics, ketamine is commonly used for acute pain management in calculation was performed; pain, particularly in patients who are opioid-tolerant. In these contexts, subanaesthetic doses are generally used [7]. Ketamine is also used in the critical care unit, providing combined sedation and analgesia [8].

Traditionally, remiferitanil is used in ICU to ensure effective analgesia and adequate sedation [9, 10].

In our ICU, from November 2018, we changed the analgesic protocol from the opioid regimen to non-opioid one, based on ketamine infusion.

In this retrospective observational study, we analyzed data of registry before and after we have changed our postoperative analgesic protocol for a patient admitted in ICU after head and neck surgery.

The primary endpoint of this study was to determine the average change of inpatient pain control using NRS between experimental and control groups. The other primary endpoint was to evaluate the time of extubation after ICU admission. We evaluated the incidence of desaturation (SpO<sub>2</sub> < 90%) analyzed by continuous respiratory monitoring and the necessity of reintubation.

The incidence of postoperative complications included those narcotics-related and use of rescue analgesics were the secondary outcomes.

#### 2. MATERIALS AND METHODS

### 2.1. Patients

This monocentric retrospective study was conducted collecting and examining the medical records of 20 patients admitted to the ICU of "Luigi Vanvitelli" University Hospital (Piazza Luigi Miraglia 2 - Naples, Italy) in the period between May 1, 2018 and May 31, 2019.

The Institutional Review Board approved this investigation and all procedures were in accordance with the Declaration of Helsinki. Patients scheduled for head and neck cancer surgery and admitted in ICU were enrolled after signed written informed consent.

We performed a retrospective review of patients who underwent head and neck cancer surgeries with free flap reconstruction receiving ketamine and acetaminophen in ICU from December 1, 2018 to May 31, 2019. We compared these patients with a group of patients with similar characteristics receiving remifentanil and acetaminophen from May 1, 2018 to November 30, 2018. No prior sample size was performed; however, all eligible patients were considered.

Ketamine/acetaminophen group (K/A group) received 0.5 mg/kg ketamine bolus at the end of surgery followed by a continuous infusion of 0.25 mg/kg/h of ketamine and acetaminophen 1000mg every 8h. During the six months before, we administered remifentanil at 0.01-0.03 mg/kg/h infusion rate and acetaminophen 1000mg every 8h (R/A group).

The inclusion criteria were as follows:

1. Patients undergoing head and neck cancer ablation with concomitant free flap reconstruction surgery.

2. Patients naïve to ketamine.

3. Adult patients >18 years of age and able to consent.

The exclusion criteria were as follows:

1. Patients who are already taking scheduled ketamine.

2. Poorly controlled cardiovascular disease.

3. Patients with known renal compromise, such that creatinine clearance is  $< 30 \text{ mL/min}/1.73 \text{m}^2$ .

4. Patient with known hepatic insufficiency or cirrhosis.

5. Elevated intracranial pressure, elevated intraocular pressure.

6. Pregnant women.

7. Psychosis.

8. Patients allergic to ketamine.

The flow chart (Fig. 1) shows in detail the procedure of patients selection. 36 medical records were evaluated. Twelve patients did not meet the required inclusion criteria. From the remaining 24 patients, four had no interest in the study. Twenty patients were evaluated, and all of them were included for the present study.

The data supporting the findings of the article is available in the medical records archive of "Luigi Vanvitelli" University Hospital (Piazza Luigi Miraglia 2 - Naples, Italy).

We obtained demographics (age, sex, race), clinical (height, weight, Body Mass Index - BMI) and anaesthesiolocial (American Society of Anaesthesiologists - ASA - grade, duration of surgery) data.

At ICU admission all patients underwent standard blood exams including Arterial-Blood Gas (ABG) test, infectious investigations, Chest X-Ray (CXR) and Electrocardiogram (ECG).



Fig. (1). Procedure of patients selection.

Arterial Pressure (AP), Mean Arterial Pressure (MAP), Heart Rate (HR), peripheral oxygen saturation (SpO<sub>2</sub>) and Numerical Rating Scale (NRS) were recorded. Before surgery, all patients were educated on the use of NRS (0 = no pain, 10 =worst possible pain).

Data were collected at ICU admission and 4, 8, 12, 16, 20, 24, and 48 hours postoperatively.

Hemodynamic adverse effects included new onset of tachycardia, bradycardia, hypertension and hypotension. Tachycardia and bradycardia were defined as a heart rate greater than 110 beats per minute (bpm) and less than 60, respectively. Hypertension and hypotension were classified as a systolic blood pressure greater than 180 mm Hg and less than 90 mm Hg, respectively.

Presence of delirium was determined using the Confusion Assessment Method for the ICU (CAM-ICU) at 24 hours after ICU admission.

#### 2.2. Statistical Analyses

Data were analyzed using a standard computer program (Excel, 2016). Results were reported as mean  $\pm$  Standard Deviation (SD). Comparisons between group on mean pain value and extubation time were performed using Student t-test. The level of statistical significance was p < 0.05.

This observational study is in accordance with the STROBE statement [11].

#### **3. RESULTS**

The medical records of twenty patients who underwent

head and neck cancer surgeries with free flap reconstruction and then admitted to ICU were examined. Of these ten patients received ketamine and acetaminophen, the other 10 received remifentanil and acetaminophen. No patient was excluded for inadequate analgesia.

Patients enrolled for this study were similar according to age, sex, duration of surgery and ASA grade (Table 1).

Mean age was 57.6  $\pm$  8.9 years in K/A group and 60  $\pm$  6.0 in R/A group. K/A group included 5 female and 5 male patients, while in R/A group, there were 4 female and 6 male patients. In K/A group 3 patients were classified as ASA grade II and 7 patients as ASA grade III, while in R/A group 4 patients as ASA grade II and 6 patients as ASA grade III. Duration of surgery was 679.2 min  $\pm$  64 in K/A group and 677.5 min  $\pm$  72.5 in R/A group.

Patients were classified according to NRS score as none pain 0, mild pain 1-3, moderate pain 3-6 and severe pain 6-10.

All patients presented a significant decrease in pain intensity from the 4<sup>th</sup> to 48<sup>th</sup> postoperative hour (p < 0.05), but statically not a significant difference in NRS score was recorded between the two groups (Table 2 and Figs. 2 - 5).

Time to extubation was shorter in K/A group compared to R/A group (112.30 min  $\pm$  16.78 vs. 78 min  $\pm$  14.17; p < 0.05) (Fig. 6). The desaturation rate was 10% in the R/A group, while no case was recorded in the K/A group. This difference is statistically not significant. In no case, reintubation was necessary.

Patients in both groups well-tolerated ketamine and remifentanil. There were no adverse hemodynamic events, but

K/A group presented a mean arterial pressure higher that R/A group. Rescue analgesics were not administered.

# 4. DISCUSSION

Traditionally, free flap patients have been routinely monitored in ICU, even after uncomplicated surgery. An

# Table 1. Patient data.

immediate postoperative period of deep sedation and artificial ventilation in ICU is often required. This is associated with prolonged time to weaning from mechanical ventilation and an increase in the frequency of respiratory insufficiency and pneumonia, as compared with allowing patients to breathe spontaneously with light sedation [12].

GROUPS	<b>REMIFENTANYL + PARACETAMOL</b>	KETAMINE + PARACETAMOL	-
AGE	57,6 (DS 8.9)	60 (DS 6.0)	<i>p</i> 0.8
GENDER	-	-	-
MALE	60.0%	50.0%	-
FEMALE	40.0%	50.0%	-
ASA	-	-	-
II	40.0%	30.0%	
ш	60.0%	70.0%	-
DURATION OF SURGERY	677,5 (DS 72,5)	679,2 (DS 64,1)	p 0.5

### Table 2. Mean pain value.

	PAIN INCIDENCE							
-	REMIFENTANYL + PARACETAMOL	KETAMINA + PARACETAMOL	REMIFENTANYL + PARACETAMOL	KETAMINA + PARACETAMOL	REMIFENTANYL + PARACETAMOL	PARACETAMOL	REMIFENTANYL + PARACETAMOL	KETAMINA + PARACETAMOL
-	-	-	MILD (1-3)	-	MODERATE (4-6)	-	SEVERE (7-10)	-
0	7	8	2	1	1	1	0	0
4H	6	7	3	3	1	0	0	0
8H	6	6	3	3	1	1	0	0
12H	7	7	3	3	0	0	0	0
16H	8	8	2	2	0	0	0	0
20H	8	9	2	1	0	0	0	0
24H	8	7	2	3	0	0	0	0
28H	8	8	2	2	0	0	0	0
32H	8	8	2	2	0	0	0	0
36H	8	9	2	1	0	0	0	0
40H	8	9	2	1	0	0	0	0
44H	8	8	2	2	0	0	0	0
48H	8	8	2	2	0	0	0	0
MEAN	7.538461538	7.846153846	2.230769231	2	0.230769231	0.153846154	0	0
SD	0.745796901	0.863459397	0.421325044	0.784464541	0.421325044	0.360801212	0	0





Fig. (2). Pain incidence time 0.

Fig. (3). Pain incidence 4h.



Fig. (4). Pain incidence 24h.



Fig. (5). Pain incidence 48h.



Fig. (6). Time to estubation.

In maxillofacial head and neck oncology, tracheostomy is often used to secure the airway especially from the risk of swelling and oedema in the postoperative period. Tracheostomy has several advantages; it ensures a more effective bronchial toilet and reduces the dead space in wean- ing from the mechanical ventilation. However, tracheos- tomy carries its own risks, such as haemorrhage, obstruction, displacement, stomal recurrence, and poor healing after radiotherapy [13]. There is growing evidence to suggest that many head and neck oncology cases can be managed without tracheostomy. In selected cases, postoperative ventilation with an endotracheal tube is an option to consider [14].

In free flap transfer, the goals of postoperative management are to maintain a full hyperdynamic circulation with increased cardiac output, peripheral vasodilation and normothermia to maximize flap perfusion. The haematocrit is maintained at 30-35% to improve oxygen transfer and red blood cell velocity within the microcirculation [13].

Pain control is another goal of postoperative management of patients undergoing head and neck surgery. Major head and neck cancer resections with free flap reconstruction are lengthy and complex procedures. These interventions have a major impact on postoperative patient comfort and can make pain management challenging [15]. Pharmacological control of pain often requires the use of narcotics, such as continuous infusion of morphine. These drugs can have many side effects that can make recovery more difficult including nausea, vomiting, dizziness, excessive sleepiness, itching, inability to urinate, confusion, inability to have a bowel movement, long time before you can start walking. These side effects can make the hospital longer. Recent evidence suggests a multimodal analgesia opioid-sparing, utilizing Nonsteroidal Anti-Inflammatory Drugs (NSAIDs), COX inhibitors, and parace- tamol [12].

The use of ketamine in head and neck surgery is controversial. Traditionally, it is recognized that it offers better sedation and analgesia with fewer respiratory effects when compared to other agents. In addition, it provides anxiolysis while maintaining cardiovascular stability [8]. In the last guidelines on the use of intravenous ketamine, patients undergoing procedures with expected mild levels of pain, such as head and neck surgery, have not been shown to benefit from perioperative ketamine [7]. However, ketamine is a 'use-dependent' drug: it blocks NMDA channels only if they have already been opened by intense or repeated noxious stimuli [16]. It seems better to administer ketamine during the early postoperative days [17] by a continuous infusion of at least 0.25 mg/kg/h [18].

In our study, ketamine has reduced time to extubation while guaranteeing good sedation. It has also proved to be safe, not causing adverse reactions. Desaturation did not occur, and there was no need to re-intubate patients. As known, early extubation reduces respiratory complications, such as Ventilator Associated Pneumonia (VAP), ICU-acquired weak- ness, and hospital length of stay [19 - 21].

Some limitations to our study should be considered. First, our study was conducted retrospectively. Second, the sample is limited to 20 patients.

#### CONCLUSION

According to previous studies [22 - 25], ketamine is a strong analgesic that can be used in subanaesthetic dose without significant neuropsychiatric effects. Ketamine reduces postoperative pain, and plays an important role in reducing opioid use, decreasing opioid side effects and increasing time for rescue analgesics. It offers good sedation with few respiratory effects, and it provides anxiolysis while maintaining cardiovascular stability.

In our study, the level of analgesia provided by ketamine and remifentanil infusion was comparable. Ketamine infusion showed advantages in ventilatory management of the patient with more rapid extubation and with no case of desaturation.

In the future, a prospective study could better investigate the efficacy of the ketamine infusion concerning a control group.

# LIST OF ABBREVIATIONS

VAP	=	Ventilator Associated Pneumonia
NSAIDs	=	Nonsteroidal Anti-Inflammatory Drugs
SD	=	Standard Deviation
bpm	=	beats per minute
CAM-ICU	=	Confusion Assessment Method for the ICU
ABG	=	Arterial-Blood Gas
CXR	=	Chest X-Ray
ECG	=	Electrocardiogram
AP	=	Arterial Pressure
MAP	=	Mean Arterial Pressure
HR	=	Heart Rate
NRS	=	Numerical Rating Scale

# ETHICS APPROVAL AND CONSENT TO PARTICIPATE

The Institutional Review Board of Luigi Vanvitelli University Hospital (Piazza Luigi Miraglia 2 - Naples, Italy) approved this investigation.

### HUMAN AND ANIMAL RIGHTS

No animals were used in this research. All human research procedures were followed in accordance with the ethical standards of the committee responsible for human experimentation (institutional and national), and with the Helsinki Declaration of 1975, as revised in 2013.

# **CONSENT FOR PUBLICATION**

Patients scheduled for head and neck cancer surgery and admitted in ICU were enrolled after signed written informed consent.

# STANDARD OF REPORTING

This observational study is in accordance with the STROBE statement.

# AVAILABILITY OF DATA AND MATERIALS

Not applicable.

#### FUNDING

None.

### **CONFLICT OF INTEREST**

The authors declare no conflict of interest, financial or otherwise.

### ACKNOWLEDGEMENTS

Declared none.

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